

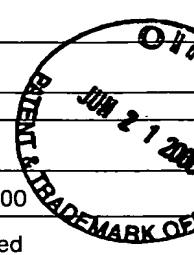
Please type a plus sign (+) inside this box → ☐

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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DECLARATION FOR UTILITY OR DESIGN PATENT APPLICATION (37 CFR 1.63) <input type="checkbox"/> Declaration Submitted with Initial Filing OR <input checked="" type="checkbox"/> Declaration Submitted after Initial Filing (surcharge (37 CFR 1.16(e)) required)	Attorney Docket Number	22379-707
	First Named Inventor	Hadi Partovi
	COMPLETE IF KNOWN	
	Application Number	09/513,236
	Filing Date	24 February 2000
	Group Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned	



As a below named inventor, I hereby declare that:

My residence, post office address, and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

Method And Apparatus For Content Personalization Over A Telephone Interface With Adaptive Personalization

(Title of the Invention)

the specification of which

☐ is attached hereto
OR

☒ was filed on (MM/DD/YYYY) 02/24/2000 as United States Application Number or PCT International

Application Number 09/513,236 and was amended on (MM/DD/YYYY) ☐ (if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.

I hereby claim foreign priority benefits under 35 U.S.C. 119(a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application Number(s)	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Copy Attached?	
			<input type="checkbox"/>	YES	NO
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
			<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

☐ Additional foreign application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto:

I hereby claim the benefit under 35 U.S.C. 119(h) of any United States provisional application(s) listed below.

Application Number(s)	Filing Date (MM/DD/YYYY)	<input type="checkbox"/> Additional provisional application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

(Page 1 of 5)

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of the application is not designated in the prior United States or PCT international application in the manner provided by the first paragraph of 31 U.S.C. 112. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

U.S. Parent Application or PCT Parent Number	Parent Filing Date (MM/DD/YYYY)	Parent Patent Number (if applicable)
09/426,102	10/22/1999	

☐ Additional U.S. or PCT international application numbers are listed on a supplemental priority data sheet PTO/SB/028 attached hereto.

As a named inventor, I hereby appoint the following registered practitioner(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith: ☒ Customer Number 21971 

OR

☐ Registered practitioner(s) name/registration number listed below

Place Customer
Number Bar
Code Label here


Name	Registration Number	Name	Registration Number

☐ Additional registered practitioner(s) named on supplemental Registered Practitioner Information sheet PTO/SB/02C attached hereto.

Direct all correspondence to: ☒ Customer Number 21971 OR ☐ Correspondence address below

Name	Erik L. Oliver						
Address	Wilson Sonsini Goodrich & Rosati						
Address	650 Page Mill Road						
City	Palo Alto	State	CA	ZIP	94304		
Country	U.S.	Telephone	650-493-9300		Fax	650-493-6811	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name of Sole or First Inventor:		<input type="checkbox"/> A petition has been filed for this unsigned inventor					
Given Name (first and middle (if any))				Family Name or Surname			
Hadi				Partovi			
Inventor's Signature						Date	3/29/2000
Residence: City	San Francisco	State	CA	Country	USA	Citizenship	USA
Post Office Address	2280 Green Street #104						
Post Office Address							
City	San Francisco	State	CA	ZIP	94123	Country	USA

☒ Additional inventors are being named on the 3 supplemental Additional Inventor(s) sheet(s) PTO/SB/02A attached hereto:

Please Type a plus sign (+) inside this box 

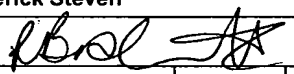
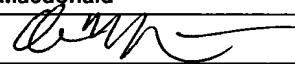
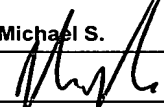
PTO/SB/02A (3-97)

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>3</u> of <u>5</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Roderick Steven				Brathwaite			
Inventor's Signature						Date	4/3/2000
Residence: City	Livermore	State	CA	Country	USA	Citizenship	USA
Post Office Address 490 Andrews Street							
Post Office Address							
City	Livermore	State	CA	ZIP	94550	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Angus Macdonald				Davis			
Inventor's Signature						Date	3/29/2000
City	Sunnyvale	State	CA	Country	USA	Citizenship	USA
Post Office Address 991 The Dalles Avenue							
Post Office Address							
City	Sunnyvale	State	CA	ZIP	94087	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Michael S.				McCue			
Inventor's Signature						Date	4/3/00
City	Los Gatos	State	CA	Country	USA	Citizenship	USA
Post Office Address 400 Summit Road							
Post Office Address							
City	Los Gatos	State	CA	ZIP		Country	USA

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DECLARATION	ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>4</u> of <u>5</u>
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Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Brandon William				Porter			
Inventor's Signature	<i>Brandon W. Porter</i>					Date	4/3/2000
Residence: City	Mountain View	State	CA	Country	USA	Citizenship	USA
Post Office Address	840 E. Dana Street						
Post Office Address							
City	Mountain View	State	CA	ZIP	94041	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
John				Giannandrea			
Inventor's Signature	<i>J. Giannandrea</i>					Date	3/30/00
City	Palo Alto	State	CA	Country	USA	Citizenship	Great Britain
Post Office Address	977 Commercial Street						
Post Office Address							
City	Palo Alto	State	CA	ZIP	94303	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Eckart				Walther			
Inventor's Signature	<i>E. Walther</i>					Date	4/3/00
City	Redwood City	State	CA	Country	USA	Citizenship	Germany
Post Office Address	150 Doherty Way						
Post Office Address							
City	Redwood City	State	CA	ZIP	94061	Country	USA

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DECLARATION				ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>5</u> of <u>5</u>			
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Anthony				Accardi			
Inventor's Signature						Date	4/3/00
Residence: City	Mountain View	State	CA	Country	USA	Citizenship	USA
Post Office Address	1945 Mount Vernon Court, Apt. 16						
Post Office Address							
City	Mountain View	State	CA	ZIP	94040	Country	USA
Name of Additional Joint Inventor, if any:				<input type="checkbox"/> A petition has been filed for this unsigned inventor			
Given Name (first and middle (if any))				Family Name or Surname			
Zhe				Li			
Inventor's Signature						Date	
City	Daly City	State	CA	Country	USA	Citizenship	Republic of China
Post Office Address	75 Poncetta Drive, Apt. 211						
Post Office Address							
City	Daly City	State	CA	ZIP	94015	Country	USA

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STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR. 1.9(f) & 1.27(c)) – SMALL BUSINESS CONCERN		Docket Number (Optional) 22379-707	
Applicant, Patentee, or Identifier:		Hadi Partovi, Roderick S. Brathwaite, Angus M. Davis, Michael S. McCue, Brandon W. Porter, John Giannandrea, Eckart Walther, Anthony Accardi, Zhe Li	
Application or Patent No.:		09/513,236	
Filed or Issued:		24 February 2000	
Title:		Method And Apparatus For Content Personalization Over A Telephone Interface With Adaptive Personalization	
I hereby state that I am <input type="checkbox"/> the owner of the small business concern identified below <input checked="" type="checkbox"/> an official of the small business concern empowered to act on behalf of the concern identified below			
NAME OF SMALL BUSINESS CONCERN		TellMe Networks, Inc.	
ADDRESS OF SMALL BUSINESS CONCERN		1310 Villa Street, Mountain View, California 94041	
<p>I hereby state that the above identified small business concern qualifies as a small business concern as defined in 13 CFR Part 121 for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.</p> <p>I hereby state that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention described in:</p> <p><input type="checkbox"/> the specification filed herewith with title as listed above <input checked="" type="checkbox"/> the application identified above <input type="checkbox"/> the patent identified above</p> <p>If the rights held by the above identified small business concern are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities, and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e).</p> <p>Each person, concern, or organization having any rights in the invention is listed below:</p> <p><input type="checkbox"/> no such person, concern, or organization exists <input type="checkbox"/> each such person, concern, or organization is listed below.</p>			
NAME OF SMALL BUSINESS CONCERN			
ADDRESS OF SMALL BUSINESS CONCERN			
<p>Separate statements are required from each named person, concern or organization having rights to the invention stating their status as small entities (37 CFR 1.27).</p> <p>I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate (37 CFR 1.28(b)).</p>			
NAME OF PERSON SIGNING		HADI PARTOVI	
TITLE OF PERSON IF OTHER THAN OWNER		VP of PRODUCTION	
ADDRESS OF PERSON SIGNING		2280 Green St #104, San Francisco, CA 94123	
SIGNATURE		DATE 4/13/2000	

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